

**THE CITY OF WINNIPEG
CAMPAIGN EXPENSES AND CONTRIBUTIONS BY-LAW NO. 10/2010
FORM 4
REFERENCE : SECTION 21(1)
AUDITED FINANCIAL STATEMENT**

For the campaign period	From	To
	May 1, 2022	March 31, 2023

NAME OF CANDIDATE AND OFFICE

NAME OF REGISTERED CANDIDATE			Robert Falcon Ouellette		
ADDRESS					
CITY, PROVINCE				POSTAL CODE	
Winnipeg, Manitoba					
PHONE		ALTERNATE PHONE		FAX NUMBER	
EMAIL ADDRESS					
OFFICE			WARD NAME (IF COUNCILLOR CANDIDATE)		
Mayor					

SUMMARY OF CAMPAIGN INCOME AND EXPENSES

Campaign Expense Limitation (as per form 3)		\$ <u>272,400.61</u>
Campaign Expenses Subject to Limitation (as per Statement of Income and Expenses)		\$ <u>22,944.26</u>
Total Campaign Income	\$ 22,985.00 (A)	
Total Period Expenses	\$ <u>22,944.26</u> (B)	
Campaign Period Surplus (Deficit) (A) - (B)		\$ <u>40.74</u>

DECLARATION OF CANDIDATE

I, Robert Falcon Ouellette hereby declare that
Name of Candidate

to the best of my knowledge and belief this financial statement and supporting schedules as set out herein are true and correct and I make this declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

Declared before me at the City of Winnipeg in the Province of Manitoba this
24th day of April, 2023

[Signature] Signature of Campaign Expenses and Contributions Officer [Signature] Signature of Candidate

DECLARATION OF OFFICIAL AGENT

I, Siddeek Ali have prepared this Campaign Period Return
Name of Official Agent

and supporting schedules as set out herein for Robert Falcon Ouellette and hereby
Name of Candidate

declare that to the best of my knowledge and belief this Financial Statement and supporting schedules as set out herein are true and correct and I make this declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

Declared before me at the City of Winnipeg in the Province of Manitoba this
24th day of April, 2023

[Signature] Signature of Campaign Expenses and Contributions Officer [Signature] Signature of Official Agent

STATEMENT OF INCOME AND EXPENSES

FROM May 1, 2022

TO March 31, 2023

INCOME

Candidate's Surplus from immediately preceding Election Released by the Senior Election Official	\$ <u> </u>
Contributions (attached Schedule 1)	\$ <u>22,985.00</u>
Fund-Raising Event (attached Schedule 2)	\$ <u> </u>
Interest Income	\$ <u> </u>
Other (Please Specify):	\$ <u> </u>

TOTAL INCOME \$ 22,985.00

EXPENSES

(ATTACH SCHEDULE 4; IF ANY DISPUTED CLAIMS, ATTACH SCHEDULE 5)

CAMPAIGN EXPENSES SUBJECT TO LIMITATION

Advertising	\$ <u>13,394.08</u>
Bank Charges	\$ <u>409.73</u>
Brochures	\$ <u>2,126.16</u>
Candidate's Personal Expenses	\$ <u> </u>
Furniture	\$ <u> </u>
Insurance and Utilities	\$ <u> </u>
Meetings, Social Functions, Rallies	\$ <u>625.39</u>
Office Rent	\$ <u>210.00</u>
Office Supplies	\$ <u>119.00</u>
Postage	\$ <u>99.95</u>
Salaries and Benefits	\$ <u> </u>
Signs	\$ <u>3,579.54</u>
Stationery	\$ <u> </u>
Telephone	\$ <u>196.79</u>
Travel	\$ <u>183.46</u>
Other (Please Specify):	\$ <u>1,000.00</u>

Policy Research

SUB-TOTAL CAMPAIGN EXPENSES SUBJECT TO LIMITATION \$ 21,944.10

STATEMENT OF INCOME AND EXPENSES (continued)

Total Income (reported from previous page)	\$	<u>22,985.00</u>
Sub-total Campaign Expenses Subject to Limitation	\$	<u>21,944.10</u>
CAMPAIGN EXPENSES EXCLUDED FROM LIMITATION		
Accounting and Audit	\$	<u>1,000.16</u>
Court action commenced under Part 9, <i>The Municipal Councils and School Boards Elections Act</i>	\$	<u> </u>
Expense in holding a Fund-Raising Function	\$	<u> </u>
Interest on Loans	\$	<u> </u>
Recount	\$	<u> </u>
SUB-TOTAL CAMPAIGN EXPENSES EXCLUDED FROM LIMITATION	\$	<u>1,000.16</u>
TOTAL PERIOD EXPENSES	\$	<u>22,944.26</u>
CAMPAIGN PERIOD SURPLUS (DEFICIT)	\$	<u>40.74</u>

SCHEDULE 1 - FOR MAYORALTY CANDIDATES ONLY

**CONTRIBUTIONS
FOR USE BY MAYORALTY CANDIDATES ONLY (Section 8(2)(a))**

PART I

From a single source totalling more than \$250 (complete Part III)	\$ 11,250.00
Total of contributions in the Form of Goods and Services from a single source of more than \$250 (complete Part II)	\$ 6,000.00
Total of single source contributions of \$250 or less	\$ 4,235.00
From candidate	\$ 1,500.00
TOTAL CONTRIBUTIONS	\$ 22,985.00

CONTRIBUTIONS IN EXCESS OF LIMITS

Excess cash over \$1500 - Returned to the Contributor	\$ _____
Excess over \$1500 in the form of Goods and Services - Returned to the Contributor	\$ _____

CONTRIBUTIONS TO BE PAID TO THE SENIOR ELECTION OFFICIAL

From anonymous sources	\$ _____
Cash and/or Goods and Services in excess of limits	\$ _____
TOTAL TO BE PAID TO THE SENIOR ELECTION OFFICIAL	\$ 0

SCHEDULE 1 - FOR MAYORALTY CANDIDATES ONLY (continued)

PART II

List of Contributions in the Form of Goods and Services

Itemized list:

Nature of Goods and Services	Supplier	Value
Voice Broadcasting	Eric Stewart	1,500.00
Voice Broadcasting	Janie Chang	1,500.00
Voice Broadcasting	James Cook	1,500.00
Voice Broadcasting	Gerald Danais	1,500.00

Sub-total from supplementary list if used

\$ _____

Total (sum to equal D above)

\$ 6,000.00

SCHEDULE 1 - FOR MAYORALTY CANDIDATES ONLY (continued)

PART III

List of Contributors from Single Source totalling more than \$250

Name	Address	Value
As attached spreadsheet		11,250.00

TOTAL

\$ 11,250.00

SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY

**CONTRIBUTIONS
FOR USE BY COUNCILLOR CANDIDATES ONLY (Section 8(2)(b))**

PART I

From a single source totalling more than \$250 (complete Part III)

\$ _____

Total of contributions in the Form of Goods and Services from
a single source of more than \$250 (complete Part II)

\$ _____

Total of single source contributions of \$250 or less

\$ _____

From candidate

\$ _____

TOTAL CONTRIBUTIONS

\$ 0

CONTRIBUTIONS IN EXCESS OF LIMITS

Excess cash over \$750 - Returned to the Contributor

\$ _____

Excess over \$750 in the form of Goods and Services - Returned to the Contributor

\$ _____

CONTRIBUTIONS TO BE PAID TO THE SENIOR ELECTION OFFICIAL

From anonymous sources

\$ _____

Cash and/or Goods and Services in excess of limits

\$ _____

TOTAL TO BE PAID TO THE SENIOR ELECTION OFFICIAL

\$ 0

SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY (continued)

PART II

List of Contributions in the Form of Goods and Services

Itemized list:

Nature of Goods and Services	Supplier	Value

Sub-total from supplementary list if used

\$ _____

Total (sum to equal D above)

\$ 0

SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY (continued)

PART III

List of Contributors from Single Source totalling more than \$250

Name	Address	Value

TOTAL

\$ 0

SCHEDULE 4

**STATEMENT OF DISPUTED CLAIMS
(ATTACH LIST IF MORE SPACE REQUIRED)**

	Amount included in expenses	Disputed amount
Name & Address of Claimant	\$ <u> N/A </u>	\$ <u> </u>
Nature of Expense		
Reason for Dispute		
Name & Address of Claimant	\$ <u> </u>	\$ <u> </u>
Nature of Expense		
Reason for Dispute		
Name & Address of Claimant	\$ <u> </u>	\$ <u> </u>
Nature of Expense		
Reason for Dispute		
TOTAL FROM SUPPLEMENTARY LIST ATTACHED	\$ <u> </u>	\$ <u> </u>
TOTAL DISPUTED CLAIMS	\$ <u> 0 </u>	\$ <u> 0 </u>

Date	First Name	Last Name	Address	City	Postal Code	\$ Amount
2022-09-30	Robert	Falcon-Ouellette				1,500.00
2022-10-01	Ping-Hsiang	Kuo				1,500.00
2022-10-25	Eric	Stewart				1,500.00
2022-10-25	Janie	Chang				1,500.00
2022-10-25	James	Cook				1,500.00
2022-10-25	Gerald	Danais				1,500.00
2022-10-17	Lloyd	Grahame				300.00
2022-10-13	Mary Lou	Bourgeois				700.00
2022-10-12	Kourosh	Doustshenas				700.00
2022-10-06	Melvin	Swan				1,000.00
2022-10-06	Winston David	Wuttunee				1,500.00
2022-10-05	Jane	Meagher				350.00
2022-10.13	John	Borger				1,000.00
2022-09-30	Geraldine	Biy Brouwer				1,500.00
2022-09-20	Steven	Fletcher				400.00
2022-07-16	Darryl C	Desjarlais				500.00

**INDEPENDENT AUDITORS'
REPORT**
REFERENCE: SECTION 21(1)

Name of Candidate: Robert Falcon Ouellette

Official Agent: Siddeek Ali

Office: Mayor

We have audited the statements of assets and liabilities and income and expenses of

Robert Falcon Ouellette

candidate, for the campaign period ending

Name of Candidate

March 31, 2023

relating to the election held on

October 26, 2022

Date Campaign Period Ended

Date of Election

Qualified Opinion

We have audited the statements of income and expenses of Robert Falcon Ouellette for the campaign period ending March 23, 2023 relating to the election held on October 26, 2022 and note to the statement of income and expenses, which includes a summary of significant accounting policies (together "the financial statement").

In our opinion, except for the possible effects of the matter described in the *Basis for Qualified Opinion* section of our report, the accompanying financial statement of the candidate for the campaign period ending March 31, 2023 are prepared, in all material respects, in accordance with By-law No 10/2010 of *The City of Winnipeg Charter*.

Basis for Qualified Opinion

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of good and services, receipts and disbursements for the campaign period. Accordingly, our verification of these transactions was limited to ensuring that the financial statements reflect the amounts recorded in the accounting records of the candidate, in accordance with the basis of accounting and we were not able to determine whether any adjustments might be necessary.

We conducted our audit in accordance with Canadian generally accepted auditing standards. Our responsibilities under those standards are further described in the *Auditor's Responsibilities for the Audit of the Financial Statements* section of our report. We are independent of the candidate in accordance with the ethical requirements that are relevant to our audit of the financial statements in Canada, and we have fulfilled our other ethical responsibilities in accordance with these requirements. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified opinion.

Emphasis of Matter - Basis of Accounting

We draw attention to Form 4 - Note A - to the Auditor's Report, which describes the basis of accounting. This financial statement is prepared solely for the information and use of the Campaign Expenses and Contributions Officer of the City of Winnipeg for complying with By-law No. 10/2020 of *The City of Winnipeg Charter*. As a result, this statement may not be suitable for another purpose. Our opinion is not modified in respect of this matter.

Responsibilities of the Official Agent and those charged with Governance for the Financial Statements

The official agent is responsible for preparation of the financial statement in accordance with the basis of accounting described in Note A and for such internal control as the official agent determines is necessary to enable the preparation of financial statement that is free from material misstatement, whether due to fraud or error.

Those charged with governance are responsible for overseeing the candidate's financial reporting process.

Auditors' Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statement as a whole is free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with Canadian generally accepted auditing standards will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of this financial statement.

As part of an audit in accordance with Canadian generally accepted auditing standards, we exercise professional judgment and maintain professional skepticism through the audit. We also:

Identify and assess the risks of material misstatement of the financial statement, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.

Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the candidate's internal control.

Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates, if any, and related disclosures made by management.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

May 19, 2023
Date

Thorndon & Co
Signature of Auditor

Robert Falcon Ouellette

Note to Form 4 – The City of Winnipeg Campaign Expenses and Contributions By-Law No. 10/2010
For the Campaign Period from May 1, 2022 to March 31, 2023

1. Basis of Accounting

The Form 4 – The City of Winnipeg Campaign Expenses and Contributions By-Law No. 10/2010 and Schedules 1 - 4 (The Statement) has been solely prepared for the information and use of the Campaign Expenses and Contributions Officer of the City of Winnipeg for complying with the By-law No. 10/2020 of the City of Winnipeg Charter

The Statement is filed with the City of Winnipeg Campaign Expenses and Contributions Officer in accordance with the By-law No. 10/2020 of *The City of Winnipeg Charter*. As a result, the Statement may not be suitable for another purpose.