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**THE CITY OF WINNIPEG
CAMPAIGN EXPENSES AND CONTRIBUTIONS BY-LAW NO. 10/2010
FORM 4
REFERENCE : SECTION 21(1)
AUDITED FINANCIAL STATEMENT**

For the campaign period	From	To
	30-Jun-14	31-Mar-15

NAME OF CANDIDATE AND OFFICE

NAME OF REGISTERED CANDIDATE		HARVEY SMITH	
ADDRESS			
CITY, PROVINCE		POSTAL CODE	
Winnipeg, Manitoba			
PHONE	ALTERNATE PHONE	FAX NUMBER	
EMAIL ADDRESS:			
OFFICE		WARD NAME (IF COUNCILLOR CANDIDATE)	
COUNCILLOR		DANIEL MCINTYRE	

SUMMARY OF CAMPAIGN INCOME AND EXPENSES

Campaign Expense Limitation (as per form 3)	\$ <u>32509.63</u> 32712.57
Campaign Expenses Subject to Limitation (as per Statement of Income and Expenses)	\$ <u>25499.15</u> ✓
Total Campaign Income	\$ <u>25849</u> (A) ✓
Total Period Expenses	\$ <u>26005.48</u> (B) ✓
Campaign Period Surplus (Deficit) (A) - (B)	\$ <u>-156.48</u>

DECLARATION OF CANDIDATE

I, HARVEY SMITH hereby declare that
Name of Candidate

to the best of my knowledge and belief this financial statement and supporting schedules as set out herein are true and correct and I make this declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

Declared before me at the City of Winnipeg in the Province of Manitoba this

20th day of MAY 2015



Signature of Campaign Expenses and Contributions Officer



Signature of Candidate

DECLARATION OF OFFICIAL AGENT

I, JOE CHAN have prepared this Campaign Period Return
Name of Official Agent

and supporting schedules as set out herein for HARVEY SMITH and hereby
Name of Candidate

declare that to the best of my knowledge and belief this Financial Statement and supporting schedules as set out herein are true and correct and I make this declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

Declared before me at the City of Winnipeg in the Province of Manitoba this

20th day of MAY 2015



Signature of Campaign Expenses and Contributions Officer



Signature of Official Agent

STATEMENT OF INCOME AND EXPENSES

FROM 30-Jun-14

TO 31-Mar-15

INCOME

Candidate's Surplus from immediately preceding Election Released by the Senior Election Official	\$ <u>0</u>
Contributions (attached Schedule 1)	\$ <u>25849</u> ✓
Fund-Raising Event (attached Schedule 2)	\$ <u>0</u>
Interest Income	\$ <u>0</u>
Other (Please Specify):	\$ <u> </u>

TOTAL INCOME \$ 25849 ✓

EXPENSES

(ATTACH SCHEDULE 4; IF ANY DISPUTED CLAIMS, ATTACH SCHEDULE 5)

CAMPAIGN EXPENSES SUBJECT TO LIMITATION

Advertising	\$ <u> </u>
Bank Charges	\$ <u>26.79</u>
Brochures	\$ <u>9377.94</u>
Candidate's Personal Expenses	\$ <u> </u>
Furniture	\$ <u> </u>
Insurance and Utilities	\$ <u> </u>
Meetings, Social Functions, Rallies	\$ <u> </u>
Office Rent	\$ <u> </u>
Office Supplies	\$ <u>1691.80</u>
Postage	\$ <u> </u>
Salaries and Benefits	\$ <u> </u>
Signs	\$ <u>9825</u>
Stationery	\$ <u> </u>
Telephone	\$ <u>416.72</u>
Travel	\$ <u>160.67</u>
Other (Please Specify)	\$ <u>4000.23</u>

web designer/meals

SUB-TOTAL CAMPAIGN EXPENSES SUBJECT TO LIMITATION \$ 25499.15 ✓

STATEMENT OF INCOME AND EXPENSES (continued)

Total Income (reported from previous page)		\$ <u>25849.00</u>
Sub-total Campaign Expenses Subject to Limitation	\$ <u>25499.15</u>	
CAMPAIGN EXPENSES EXCLUDED FROM LIMITATION		
Accounting and Audit	\$ <u>506.33</u>	
Court action commenced under Part 9, <i>The Municipal Councils and School Boards Elections Act</i>	\$ <u> </u>	
Expense in holding a Fund-Raising Function	\$ <u> </u>	
Interest on Loans	\$ <u> </u>	
Recount	\$ <u> </u>	
SUB-TOTAL CAMPAIGN EXPENSES EXCLUDED FROM LIMITATION	\$ <u>506.33</u>	
TOTAL PERIOD EXPENSES		\$ <u>26005.48</u> ✓
CAMPAIGN PERIOD SURPLUS (DEFICIT)		\$ <u>-156.48</u>

SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY

**CONTRIBUTIONS
FOR USE BY COUNCILLOR CANDIDATES ONLY (Section 8(2)(b))**

PART I

From a single source totalling more than \$250 (complete Part III)	\$ <u>21650</u>
Total of contributions in the Form of Goods and Services from a single source of more than \$250 (complete Part II)	\$ _____
Total of single source contributions of \$250 or less	\$ <u>4,199.00</u>
From candidate	\$ _____
TOTAL CONTRIBUTIONS	\$ <u><u>25849</u></u>

CONTRIBUTIONS IN EXCESS OF LIMITS

Excess cash over \$750 - Returned to the Contributor	\$ _____
Excess over \$750 in the form of Goods and Services - Returned to the Contributor	\$ _____

CONTRIBUTIONS TO BE PAID TO THE SENIOR ELECTION OFFICIAL

From anonymous sources	\$ _____
Cash and/or Goods and Services in excess of limits	\$ _____
TOTAL TO BE PAID TO THE SENIOR ELECTION OFFICIAL	\$ <u><u>0</u></u>

SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY (continued)

PART II

List of Contributions in the Form of Goods and Services

Itemized list:

Nature of Goods and Services	Supplier	Value

Sub-total from supplementary list if used

\$ _____

Total (sum to equal D above)

\$ 0

SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY (continued)**PART III****List of Contributors from Single Source totalling more than \$250**

Name	Address	Value
Ralph Borger		750
J.Chris Hurley		300
Val Thompson		300
William Kim		300
Stephen Wilson		300
Mahmoud Twair		300
Alex Mcclurg		750
Abdo El Tassi		750
Tarek Tassi		750
Sal Infantino		300
Souathan Vong		300
Joe Chan		300
Kim Edwards		300

Poon Men Wei		600
Poon Men Ka		500
Maggie Poon		500
Verdy Yan		500
Sing Tan Chan		400
Martin Dolin		300
Robert D Dormer		300
Joe Valenie		300
Erlinda M Ramos		300
Elliot Saunders		300
Siu Wah Mak		300
Hashem Ahmadzai		300
Julio N Latigar		300
Mike Haslbeck		300
Bradley J Hebert		300

Kiu Ying Wong		750
Natalie Hemminger		300
Jeff Ritchot		300
Jerry Medina		300
John Callahan		300
Peter Ginakes		500
Harvey Smith		300
Guan De Tao		300
#8477 Maujiti Gill		500
Jing Yi Guo		300
Amarjeet Warraich		500
Vishal Kumar		300
Mohammed El Tassi		750
Lee McYeod		500
Natalie Hemminger		300

Jessamyn Hemminger		300
Devow Hemminger		300
Souvigno Phrakonkham		300
Jatinder S. Dhaliwal		300
Samira El Tassi		750
Paul Moist		500
Midori Adachi		750
Ken Ping Yain		300
Ping Chuen Chan		750

TOTAL

\$ 21650

SCHEDULE 2

**FUND RAISING EVENTS INCOME STATEMENT
(ATTACH A DIFFERENT SCHEDULE FOR EACH EVENT HELD)**

FUND RAISING FUNCTION

Date: _____

Held at: _____

Type of Function: _____

*Admission Charge (per person) \$ _____ A

Number of Tickets sold \$ _____ B

REVENUE FROM FUNCTION (A X B) \$ _____

Other Revenue (Please Specify): \$ _____

TOTAL GROSS REVENUE: \$ 0

* If admission charge per person is not consistent, please provide complete breakdown of all ticket sales.

SCHEDULE 4

**STATEMENT OF DISPUTED CLAIMS
(ATTACH LIST IF MORE SPACE REQUIRED)**

	Amount included in expenses	Disputed amount			
<table border="1" style="width: 100%;"><tr><td style="padding: 2px;">Name & Address of Claimant</td></tr><tr><td style="padding: 2px;">Nature of Expense</td></tr><tr><td style="padding: 2px;">Reason for Dispute</td></tr></table>	Name & Address of Claimant	Nature of Expense	Reason for Dispute	\$ _____	\$ _____
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Reason for Dispute					
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Name & Address of Claimant					
Nature of Expense					
Reason for Dispute					
TOTAL FROM SUPPLEMENTARY LIST ATTACHED	\$ _____	\$ _____			
TOTAL DISPUTED CLAIMS	\$ <u>0</u>	\$ <u>0</u>			

**AUDITOR'S REPORT
REFERENCE: SECTION 21(1)**

Name of Candidate	<u>HARVEY SMITH</u>
Official Agent	<u>JOE CHAN</u>
Office	<u>Councillor</u>
Ward Name (if councillor candidate)	<u>Daniel McIntyre</u>

I have examined the statements of assets and liabilities and income and expenses of HARVEY SMITH candidate, for the campaign period ending 31-Mar-15 relating to the election held on 22-Oct-14

Name of Candidate

Date Campaign Period Ended

Date of Election

These statements, which have not been , and were not intended to be, prepared in accordance with Canadian generally accepted accounting principles, are solely for the information and use of the Campaign Expenses and Contributions Officer for the City of Winnipeg for complying with By-law No. 10/2010 of *The City of Winnipeg Charter*. The statements are not intended to be and should not be used by anyone other than the specified users or for any other purposes.

These financial statements are the responsibility of the registered candidate and official agent. My responsibility is to express an opinion on these financial statements based on my audit.

My examination was made in accordance with generally accepted auditing standards. Those standards require that I plan and perform an audit to obtain responsible assurance whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes such test and other procedures as I consider necessary in the circumstances except as explained in the following paragraph.

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services, receipts and disbursements for the campaign period. Accordingly, my verification of these transactions was limited to ensuring that the financial statements reflect the amounts recorded in the accounting records of the candidate, in accordance with established accounting procedures and I was not able to determine whether any adjustments might be necessary to receipts and disbursements.

In my opinion, except for the effect of adjustments, if any, which I might have determined to be necessary had I been able to satisfy myself as to the completeness of the records as described in the preceding paragraph, these statements present fairly the information contained in the accounting records on which the statements are based in accordance with prescribed accounting treatment.

May 20, 2015

Date

Ignace C. Rodrigue

Signature of Auditor

QUALIFIED OPINION

NOTE: There are cash donations that was not deposited in the bank and was used to defray cash expenses. According to by-Law No. 10/2010 All contributions should be deposited into the the bank, and all payments relating to or arising out of the campaign should be made by cheque out of such account.