

#25

**THE CITY OF WINNIPEG  
 CAMPAIGN EXPENSES AND CONTRIBUTIONS BY-LAW NO. 10/2010  
 FORM 4  
 REFERENCE : SECTION 21(1)**

**AUDITED FINANCIAL STATEMENT**

For the campaign period	From	To
	30-Jun-14	31-Mar-15

**NAME OF CANDIDATE AND OFFICE**

NAME OF REGISTERED CANDIDATE		JOE CHAN	
ADDRESS			
CITY, PROVINCE		POSTAL CODE	
Winnipeg, Manitoba			
PHONE	ALTERNATE PHONE	FAX NUMBER	
EMAIL ADDRESS:			
OFFICE	WARD NAME (IF COUNCILLOR CANDIDATE)		
Councillor	ST NORBERT		

**SUMMARY OF CAMPAIGN INCOME AND EXPENSES**

Campaign Expense Limitation (as per form 3)		\$ <u>41,194.02</u>
		<del>40852.8</del>
Campaign Expenses Subject to Limitation (as per Statement of Income and Expenses)		\$ <u>7612.88</u> ✓
Total Campaign Income	\$ <u>8000</u> (A) ✓	
Total Period Expenses	\$ <u>8112.88</u> (B) ✓	
Campaign Period Surplus (Deficit) ( (A) - (B) )		\$ <u>-112.88</u>

**DECLARATION OF CANDIDATE**

I, JOE CHAN hereby declare that  
Name of Candidate

to the best of my knowledge and belief this financial statement and supporting schedules as set out herein are true and correct and I make this declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

Declared before me at the City of Winnipeg in the Province of Manitoba this

20th day of MAY 2015



Signature of Campaign Expenses and Contributions Officer



Signature of Candidate

**DECLARATION OF OFFICIAL AGENT**

I, COSMAS ROWEL have prepared this Campaign Period Return  
Name of Official Agent

and supporting schedules as set out herein for JOE CHAN and hereby  
Name of Candidate

declare that to the best of my knowledge and belief this Financial Statement and supporting schedules as set out herein are true and correct and I make this declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

Declared before me at the City of Winnipeg in the Province of Manitoba this

20th day of MAY 2015



Signature of Campaign Expenses and Contributions Officer



Signature of Official Agent

**STATEMENT OF INCOME AND EXPENSES**

FROM 30-Jun-14

TO 31-Mar-15

**INCOME**

Candidate's Surplus from immediately preceding Election Released by the Senior Election Official	\$ <u>0</u>
Contributions (attached Schedule 1)	\$ <u>8000</u>
Fund-Raising Event (attached Schedule 2)	\$ <u>0</u>
Interest Income	\$ <u>0</u>
Other (Please Specify):	\$ <u>          </u>

**TOTAL INCOME**

\$ 8000 ✓

**EXPENSES**

(ATTACH SCHEDULE 4; IF ANY DISPUTED CLAIMS, ATTACH SCHEDULE 5)

**CAMPAIGN EXPENSES SUBJECT TO LIMITATION**

Advertising	\$ <u>          </u>
Bank Charges	\$ <u>7.25</u>
Brochures	\$ <u>2171.45</u>
Candidate's Personal Expenses	\$ <u>          </u>
Furniture	\$ <u>          </u>
Insurance and Utilities	\$ <u>          </u>
Meetings, Social Functions, Rallies	\$ <u>          </u>
Office Rent	\$ <u>          </u>
Office Supplies	\$ <u>120.29</u>
Postage	\$ <u>          </u>
Salaries and Benefits	\$ <u>          </u>
Signs	\$ <u>          </u>
Stationery	\$ <u>          </u>
Telephone	\$ <u>          </u>
Travel	\$ <u>829.69</u>
Other (Please Specify)	\$ <u>4484.20</u>

meals /flyers delivery

**SUB-TOTAL CAMPAIGN EXPENSES SUBJECT TO LIMITATION**

\$ 7612.88 ✓

**STATEMENT OF INCOME AND EXPENSES (continued)**

Total Income (reported from previous page)		\$ <u>8000.00</u>
Sub-total Campaign Expenses Subject to Limitation	\$ <u>7612.88</u>	
<b>CAMPAIGN EXPENSES EXCLUDED FROM LIMITATION</b>		
Accounting and Audit	\$ <u>500.00</u>	
Court action commenced under Part 9, <i>The Municipal Councils and School Boards Elections Act</i>	\$ <u>          </u>	
Expense in holding a Fund-Raising Function	\$ <u>          </u>	
Interest on Loans	\$ <u>          </u>	
Recount	\$ <u>          </u>	
SUB-TOTAL CAMPAIGN EXPENSES EXCLUDED FROM LIMITATION	\$ <u>500.00</u>	
TOTAL PERIOD EXPENSES		\$ <u>8112.88</u>
CAMPAIGN PERIOD SURPLUS (DEFICIT)		\$ <u>-112.88</u>

**SCHEDULE 1 - FOR MAYORALTY CANDIDATES ONLY**

**CONTRIBUTIONS  
FOR USE BY MAYORALTY CANDIDATES ONLY (Section 8(2)(a))**

**PART I**

From a single source totalling more than \$250 (complete Part III)	\$ <u>0</u>
Total of contributions in the Form of Goods and Services from a single source of more than \$250 (complete Part II)	\$ <u>0</u>
Total of single source contributions of \$250 or less	\$ _____
From candidate	\$ _____
<b>TOTAL CONTRIBUTIONS</b>	\$ <u><u>0</u></u>

**CONTRIBUTIONS IN EXCESS OF LIMITS**

Excess cash over \$1500 - Returned to the Contributor	\$ <u>0</u>
Excess over \$1500 in the form of Goods and Services - Returned to the Contributor	\$ <u>0</u>

**CONTRIBUTIONS TO BE PAID TO THE SENIOR ELECTION OFFICIAL**

From anonymous sources	\$ _____
Cash and/or Goods and Services in excess of limits	\$ _____
<b>TOTAL TO BE PAID TO THE SENIOR ELECTION OFFICIAL</b>	\$ <u><u>0</u></u>

**SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY**

**CONTRIBUTIONS**

**FOR USE BY COUNCILLOR CANDIDATES ONLY (Section 8(2)(b))**

**PART I**

From a single source totalling more than \$250 (complete Part III) \$ 6000

Total of contributions in the Form of Goods and Services from a single source of more than \$250 (complete Part II) \$ \_\_\_\_\_

Total of single source contributions of \$250 or less \$ 2000

From candidate \$ \_\_\_\_\_

**TOTAL CONTRIBUTIONS** \$ 8000

**CONTRIBUTIONS IN EXCESS OF LIMITS**

Excess cash over \$750 - Returned to the Contributor \$ \_\_\_\_\_

Excess over \$750 in the form of Goods and Services - Returned to the Contributor \$ \_\_\_\_\_

**CONTRIBUTIONS TO BE PAID TO THE SENIOR ELECTION OFFICIAL**

From anonymous sources \$ \_\_\_\_\_

Cash and/or Goods and Services in excess of limits \$ \_\_\_\_\_

**TOTAL TO BE PAID TO THE SENIOR ELECTION OFFICIAL** \$ 0

SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY (continued)

PART II

List of Contributions in the Form of Goods and Services

Itemized list:

Nature of Goods and Services	Supplier	Value

Sub-total from supplementary list if used \$ \_\_\_\_\_

Total (sum to equal D above) \$ 0

**SCHEDULE 1 - FOR COUNCILLOR CANDIDATES ONLY (continued)**

**PART III**

List of Contributors from Single Source totalling more than \$250

Name	Address	Value
Val Thompson		\$300.00
Amy Tsang		\$300.00
Gary Strike		300
James Yau		300
Serine Yau		300
Vincent Zhong		300
Channy Wong		300
Linda Yan		300
Marn Wai Chong		300
Jasamin Chong		300
Justen Chong		300
Fuchin Chong		300
Soufia Vong		300



Shuo Mao Wie		300
Hui Zhong		300
Zhi Tao Li		300
Kock Wain Wong		300
Ching Yu Li		300
Chen Xiu Lan		300
Joey Guo		300

TOTAL

\$ 6000

**SCHEDULE 2**

FUND RAISING EVENTS INCOME STATEMENT  
(ATTACH A DIFFERENT SCHEDULE FOR EACH EVENT HELD)

FUND RAISING FUNCTION

Date: \_\_\_\_\_

Held at: \_\_\_\_\_

Type of Function: \_\_\_\_\_

\*Admission Charge (per person) \$ \_\_\_\_\_ A

Number of Tickets sold \$ \_\_\_\_\_ B

REVENUE FROM FUNCTION ( A X B ) \$ \_\_\_\_\_

Other Revenue (Please Specify): \$ \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

TOTAL GROSS REVENUE: \$ \_\_\_\_\_ 0

\* If admission charge per person is not consistent, please provide complete breakdown of all ticket sales.

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_



**SCHEDULE 4**

STATEMENT OF DISPUTED CLAIMS  
(ATTACH LIST IF MORE SPACE REQUIRED)

	Amount included in expenses	Disputed amount
Name & Address of Claimant	\$ _____	\$ _____
Nature of Expense		
Reason for Dispute		
Name & Address of Claimant	\$ _____	\$ _____
Nature of Expense		
Reason for Dispute		
Name & Address of Claimant	\$ _____	\$ _____
Nature of Expense		
Reason for Dispute		
TOTAL FROM SUPPLEMENTARY LIST ATTACHED	\$ _____	\$ _____
TOTAL DISPUTED CLAIMS	\$ 0	\$ 0

**AUDITOR'S REPORT  
REFERENCE: SECTION 21(1)**

Name of Candidate	<u>JOE CHAN</u>
Official Agent	<u>COSMAS ROWEL</u>
Office	<u>Councillor</u>
Ward Name (if councillor candidate)	<u>St Norbert</u>

I have examined the statements of assets and liabilities and income and expenses of JOE CHAN candidate, for the campaign period ending 22-Oct-14

31-Mar-15 relating to the election held on 22-Oct-14

Date Campaign Period Ended Date of Election

These statements, which have not been , and were not intended to be, prepared in accordance with Canadian generally accepted accounting principles, are solely for the information and use of the Campaign Expenses and Contributions Officer for the City of Winnipeg for complying with By-law No. 10/2010 of *The City of Winnipeg Charter*. The statements are not intended to be and should not be used by anyone other than the specified users or for any other purposes.

These financial statements are the responsibility of the registered candidate and official agent. My responsibility is to express an opinion on these financial statements based on my audit.

My examination was made in accordance with generally accepted auditing standards. Those standards require that I plan and perform an audit to obtain responsible assurance whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes such test and other procedures as I consider necessary in the circumstances except as explained in the following paragraph.

Due to the nature of the types of transactions inherent in an election campaign, it is impracticable through auditing procedures to determine that the accounting records include all donations of goods and services, receipts and disbursements for the campaign period. Accordingly, my verification of these transactions was limited to ensuring that the financial statements reflect the amounts recorded in the accounting records of the candidate, in accordance with established accounting procedures and I was not able to determine whether any adjustments might be necessary to receipts and disbursements.

In my opinion, except for the effect of adjustments, if any, which I might have determined to be necessary had I been able to satisfy myself as to the completeness of the records as described in the preceding paragraph, these statements present fairly the information contained in the accounting records on which the statements are based in accordance with prescribed accounting treatment.

May 20, 2015  
Date

Agnew C. Rodger  
Signature of Auditor

**QUALIFIED OPINION**

NOTE: There are cash donations that was not deposited in the bank and was used to defray cash expenses. According to by-Law No. 10/2010 All contributions should be deposited into the the bank, and all payments relating to or arising out of the campaign should be made by cheque out of such account.

**JOE CHAN**

**Summary of Collected Contributions  
Jun 30, 2014 -March 15, 2015  
BANK RECONCILIATION**

ELECTION RECEIPT NO.	NAME OF CONTRIBUTOR	AMOUNT	Cash	Date Deposited
8416	Alan Borger	250.00		10/15/2014
8417	Helen Borger	250.00		10/15/2014
8418	David Borger	250.00		10/15/2014
8419	Jo-Ann Borger	250.00		10/15/2014
8420	Hayleigh Borger	250.00		10/15/2014
8421	Alisa Borger	250.00		10/15/2014
8422	Anita Borger	250.00		10/15/2014
8423	J Henry Borger	250.00		10/15/2014
5538	Joey Guo	300.00		10/15/2014
5538	Valerie Thompson	300.00		10/15/2014
5539	Amy Tsang	300.00		10/15/2014
5541	Gary Strike	100.00		10/24/2014
		5.00		10/24/2014
<b>TOTAL COLLECTION</b>		<b>3,305.00</b>		

**Joe Chan**

**May 01, 2014 to March 31, 2015**

DATE	PAYEE - PARTICULARS	AMOUNT	Bank Charges	Brochures	Office Supplies	Advances	Accounting & Auditing Fees	Personal Expenses
Sept. 30, 2014		\$ 5.00	\$ 5.00					
	Cambrian-tsfr out to share	1092.47		1092.47				
	Hips Manitoba- Flyer	2171.45		2171.45				
	The Standard Press	2.25						
	Cambrian-Service fee	2.25						
<b>TOTAL EXPENSES</b>		<b>\$ 3,271.17</b>	<b>\$ 7.25</b>	<b>\$ 3,263.92</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>

**NET ENDING BALANCE PER BANK - Feb 28, 2015**

**\$ 33.83**

RECEIPT NUMBER	NAME OF CONTRIBUTOR	ADDRESS OF CONTRIBUTOR	DONATION AMOUNT	Reported	Cheque	
5538	Vai Thompson		\$300.00		300.00	
5539	Amy Tsang		\$300.00		300.00	
5541	Gary Strike		300		300.00	
5542	James Yau		300			
5543	Serine Yau		300			
5544	Vincent Zhong		300			
5545	Channy Wong		300			
5546	Linda Yan		300			
5547	Marn Wai Chong		300			
5548	Jasamin Chong		300			
5549	Justen Chong		300			
5550	Fuchin Chong		300			
5551	Soufia Vong		300			
5575	Shuo Mao Wie		300			
5581	Hui Zhong		300			
5583	Zhi Tao Li		300			
5584	Kock Wein Wong		300			
5585	Ching Yu Li		300			
5595	Chen Xiu Lan		300			
5596	Joey Guo		300		300.00	
				\$6,000.00		
8416	Alan Borger		250		250.00	
8417	Helen Borger		250		250.00	
8418	David Borger		250		250.00	
8419	Jo-Ann Borger		250		250.00	
8420	Hayleigh Borger		250		250.00	
8421	Alisa Borger		250		250.00	
8422	Anita Borger		250		250.00	
8423	J Henry Borger		250	2000	250.00	
TOTAL REPORTED CONTRIBUTION			\$8,000.00	8000	4800	3,200.00