

Operation and Maintenance Information

SECTION A. DEFINITIONS

A.1 Definitions

A.1.1 Capitalized terms used in this document have the meanings given in the Request for Proposals, General Conditions or as below. Where applicable, their plurals have corresponding meanings.

- (1) **“Area”** means the physical area of the Facility that is designated with a specific Area Code.
- (2) **“Area Code”** has the meaning indicated in Appendix H - WWD Identification Standard.
- (3) **“Area Manual”** has the meaning indicated in Section E.6.1.
- (4) **“Asset”** has the meaning indicated in Appendix L – Asset Registry Guideline.
- (5) **“Asset Criticality”** has the meaning indicated in Appendix L – Asset Registry Guideline.
- (6) **“Asset Identifier”** or **“Equipment Identifier”** means the unique identifier assigned to each piece of equipment, consistent with Appendix H - WWD Identification Standard.
- (7) **“Asset O&M Information”** has the meaning indicated in Section C.1
- (8) **“Asset Data”** has the meaning indicated in Appendix L – Asset Registry Guideline .
- (9) **“Asset Description”** has the meaning indicated in Appendix L – Asset Registry Guideline.
- (10) **“Asset Hierarchy”** has the meaning indicated in Appendix L – Asset Registry Guideline.
- (11) **“CCTV”** means Closed-Circuit Television.
- (12) **“Component”** has the meaning indicated in Appendix L – Asset Registry Guideline.
- (13) **“Drawing Manual”** has the meaning indicated in Section G.1.1
- (14) **“Maintenance Manual”** has the meaning indicated in Section C.1.
- (15) **“Operation and Maintenance Information”** or **“O&M Information”**, has the meaning indicated in Section B.1.1.
- (16) **“Operation and Maintenance Manuals”** or **“O&M Manuals”** mean the set of Manuals identified in B.1.3(d) that provide City staff with the information required to operate and maintain the Project.

- (17) “**OWAM**” means Oracle Work and Asset Management.
- (18) “**PCS**” means Process Control System.
- (19) “**PDF**” means portable document format.
- (20) “**PPE**” means personal protective equipment.
- (21) “**P&ID**” means process and instrumentation diagram.
- (22) “**Safety Data Sheet**” or SDS means a summary document that provides information about the hazards of a product and advice about safety precautions in accordance with the Globally Harmonized System of Classification and Labelling of Chemicals (GHS).
- (23) “**Safe Work Procedure**”, or **SWP**, means a written, specific step by step description of how to complete a job safely from start to finish.
- (24) “**Simplified P&IDs**” means process and instrumentation diagrams that are simplified to eliminate extraneous information not generally of relevance to City operations personnel, prepared in accordance with Section E.10.
- (25) “**Standard Operating Procedure**”, or **SOP**, means a written set of a set of step-by-step instructions compiled to complete task a task in accordance with design and facility requirements. The SOPs provide standardized documented guidance to operators for undertaking key operational and maintenance procedures.
- (26) “**Training Material**” has the meaning set out in Appendix N – Training Requirements.

SECTION B. O&M INFORMATION

B.1 Content Overview

- B.1.1 The Contractor shall provide to the City and contract administrator a complete set of information (the “**Operation and Maintenance Information**” or “**O&M Information**”) in accordance with this Appendix to furnish City staff with all the information required to operate and maintain the Project. The scope of the O&M Information shall address the complete Project.
- B.1.2 The Contractor shall ensure that any documents provided as part of an Appendix are up to date as the project progresses.
- B.1.3 The O&M Information shall fully comply with the requirements of this Appendix and shall include:
 - (a) asset O&M Information, in accordance with Section C;
 - (b) safety management documents in accordance with Section D;
 - (c) Operations Manuals, in accordance with Section E;

(d) Maintenance Manuals in accordance with Section F;

(e) Drawing Manuals, in accordance with Section G.

B.1.4 Each document associated with the O&M Information shall be written in a cohesive manner.

B.2 Phasing of O&M Information

B.2.1 The O&M Information shall be prepared in draft and final as follows:

(a) Draft O&M information shall be provided prior to commencing commissioning activities.

(b) Final O&M information shall be provided prior to post-construction services.

(c) Provide electronic copies of the draft complete set of each of the Operation & Maintenance manuals.

(d) Contractor shall provide the following for the following final copies, prior to Total Performance:

(i) One (1) electronic copy; and

(ii) Five (5) hard copies of the complete set of each of the Operations & Maintenance Manuals.

B.3 Asset Identification

B.3.1 The Contractor shall reference all physical structures, processes, plant and equipment in each of the Operations & Maintenance Manuals using identification that is consistent with the data provided in accordance with Appendix – Asset Registry Guideline and the Appendix - WWD Identification Standard.

B.3.2 The Contractor shall utilize the Equipment Identifier in all references to an Asset.

B.3.3 The Contractor shall utilize the Asset description, including the Equipment Identifier, as indicated in Appendix – Asset Registry Guideline when first discussing the Asset in a chapter or section or as appropriate to describe the Asset.

B.3.4 The Contractor shall not use nicknames or other informal names to refer to Assets.

B.3.5 The Contractor shall use lowercase letters when referring to a group of assets. For example, “mixed liquor return pumps”.

B.3.6 The Contractor shall always include the associated room number when referring to rooms. For example, “Mechanical Room RM-E-B01”.

B.4 General O & M Manual Requirements

B.5 General

B.5.1 The Operations & Maintenance Manuals are two separate manuals and subsets of the Operation and Maintenance Information are detailed in Section E and Section F.

B.5.2 The requirements of Section B shall apply to both Operation and Maintenance Manuals.

B.6 Language

B.6.1 Contractor shall prepare all content in plain English.

B.6.2 Contractor shall write assuming all the Project is complete. Do not refer to equipment as “new”, “existing” “old”, or other similar adjectives as they are not applicable from an operations and maintenance perspective.

B.6.3 Contractor shall prepare descriptive writing, which explains how something works, in the 3rd person, active voice, indicative. For example:

(a) “The tank fills with wastewater after the upstream gate is opened.”

B.6.4 Contractor shall prepare instructions, which command the reader to do something, in the second person, active voice and imperative. For example:

(a) “After verifying the system pressure, open valve HV-M681A, located next to the pump”.

B.7 Formatting

B.7.1 Contractor shall use title page, header and footer format provided by the City.

B.7.2 Contractor shall utilize double siding as follows:

(a) configure all 8.5” x 11” pages to be double-sided for printing;

(b) configure all 11” x 17” pages to be single sided;

(c) begin each section on the front side of a page (odd-page number); and

(d) ensure electronic files are appropriately configured for double sided printing without manual intervention.

B.7.3 The contents of every manual shall include:

(a) cover and cover spine;

(b) title page;

(c) document revision and approval page;

- (d) table of contents (covering the complete manual including all binders and appendices);
- (e) table of tables;
- (f) table of figures; and
- (g) abbreviations.

B.7.4 Contractor shall begin each major section within each manual on a new page.

B.7.5 Contractor shall utilize an Arial font for all text with a line spacing of “single”, except as noted.

B.7.6 Contractor shall utilize Microsoft Word styles, with text styles in accordance with Table 1.

Table 1: Text Styles

Style	Size	Formatting	Before	After	Alignment
Cover Spine	14 pt	Bold, uppercase	3 pt	3 pt	Centered
Volume Title	24 pt	Bold, uppercase	0 pt	12 pt	Centered
Table of Contents	11 pt		0 pt	6 pt	Left
Heading 1	16	Bold, all caps	18 pt	18 pt	Left, hanging 1.27 cm
Heading 2	14	Bold, all caps	12 pt	12 pt	Left, hanging 1.27 cm
Heading 3	12	Bold, sentence case	12 pt	12 pt	Left, hanging 1.27 cm
Heading 4	11	Bold, sentence case	12 pt	6 pt	Left, hanging 1.91 cm
Body Text	11	Line spacing 1.15 lines	0 pt	6 pt	Left
Caption	11	Bold	0 pt	12 pt	centered
List Bullet	11	Round bullet	0 pt	6 pt	Indent 1.27 cm
List Number	11	Numbers (“1.”, “2.”)	0 pt	6 pt	Indent 1.27 cm

Style	Size	Formatting	Before	After	Alignment
List Number 2	11	Letters (“a.”, “b.”)	0 pt	6 pt	Indent 2.54 cm
Note Heading	11	Bold	0 pt	6 pt	
Note Text	11		0 pt	6 pt	
Table Heading	10	Bold	2 pt	2 pt	
Table Text	10		2 pt	2 pt	

B.8 Danger, Warning and Caution Notices

B.8.1 Contractor shall provide danger notices throughout each of the Operations & Maintenance Manuals where there is a hazard that, if not avoided, will cause serious personal injury, death, or major property damage.

- (a) Contractor shall utilize the format in Figure 1 for danger notices; and
- (b) Contractor shall reference Safe Work Procedures as appropriate.



Figure 1: Sample Danger Notice

B.8.2 Contractor shall provide warning notices throughout each of the Operations & Maintenance Manuals where there is a hazard that can cause serious personal injury, death, or major property damage.

- (a) Contractor shall utilize the format in Figure 2 for warning notices; and
- (b) Contractor shall reference Safe Work Procedures as appropriate.

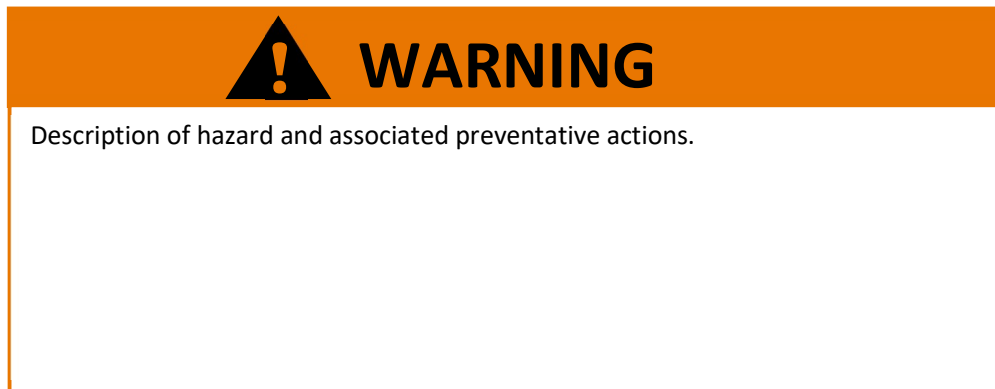


Figure 2: Sample Warning Notice

- B.8.3 Contractor shall provide caution notices throughout the each of the Operations & Maintenance Manuals where there is a hazard that can cause minor or moderate personal injury, or property damage.
- (a) Contractor shall utilize the format in Figure 3 for caution notices; and
 - (b) Contractor shall reference Safe Work Procedures as appropriate.

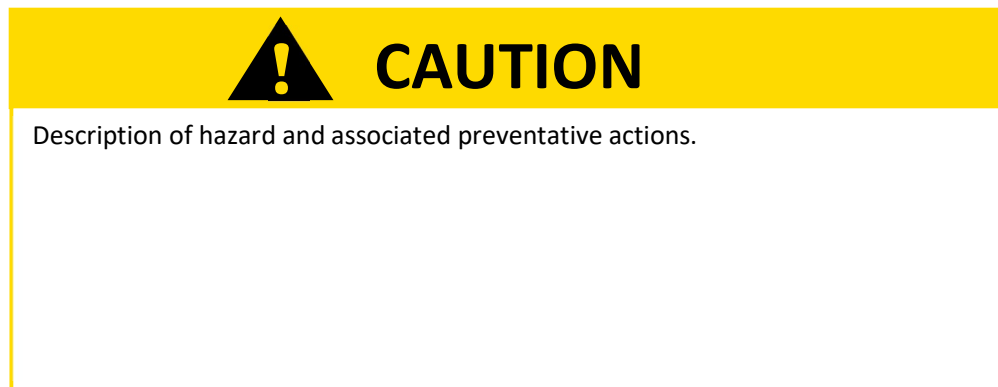


Figure 3: Sample Caution Notice

B.9 Conventions

- B.9.1 When referring to modes or states as displayed on HMI screens, Contractor shall utilize italics and capitalize the term. For example: "The pump will only start in Auto mode."
- B.9.2 Contractor shall utilize the terms "Duty 1", "Duty 2", etc., for duty rotation, rather than the terms "Duty" and "Standby".

B.10 Figures and Drawings

- B.10.1 Contractor shall include figures in all volumes of each of the Operations & Maintenance Manuals to provide the users with a clear understanding of the specific written content. Contractor shall utilize figures to complement text.
- B.10.2 Contractor shall utilize figures that:
- (a) are based upon the design drawings, as included in the Drawing Manual;
 - (b) have extraneous information removed such that only the content relevant to the section containing the figure remains;
 - (c) are scaled as appropriate for inclusion in the manuals such that the figure is legible;
 - (d) have appropriate line-weights for legibility in the manuals;
 - (e) have appropriate symbol and abbreviations legends, where appropriate;
 - (f) do not depend on colour for understanding. Where colour is utilized, ensure that a secondary form of identification is utilized, such as hatching or shading, to ensure that colour blindness and grey shade photocopying is accommodated; and
 - (g) have the text appropriately scaled with the minimum size font displayed as equivalent to a 10pt font.
- B.10.3 For schematic figures showing gates and valves, Contractor shall utilize outlined symbols to show gates / valves in the normal open position and filled (solid) symbols to show gates / valves in the normal closed position.
- B.10.4 As appropriate, Contractor shall reference drawings in the Drawing Manual from other O&M Manual volumes. However, Contractor shall not include or attach drawings to manuals other than the Drawing Manual. Contractor shall utilize figures rather than drawings in volumes other than the Drawing Manual.
- B.10.5 The use of drawing references within the various manuals of each of the Operations & Maintenance Manuals shall not replace the requirement to use figures, as specified or appropriate.

B.11 Photographs

- B.11.1 Contractor shall utilize colour photographs to provide the users with a clear understanding of the specific written content and to complement the text.
- B.11.2 Contractor shall utilize JPEG format with appropriate resolution for all photographs.
- B.11.3 Contractor shall ensure all photographs are identified as to the content.
- B.11.4 Contractor shall crop all photographs as required to remove extraneous content.

B.11.5 Where referring to a specific item with a photograph, Contractor shall provide circles, arrows, and other visual cues to identify the specific content being referred to.

B.11.6 Where appropriate, photographs should include adjacent equipment for context and understanding.

B.12 Referencing

B.12.1 Within each manual, Contractor shall include references to other relevant sections for the convenience of the reader and where necessary to avoid duplication of material.

B.12.2 Material required within a given manual shall be contained within that manual. Contractor shall not use any cross-referencing between manuals, except as follows:

(a) manuals may reference drawings in the Drawing Manual.

B.12.3 Where references are provided, Contractor shall utilize hyperlinks to allow the user to quickly navigate to the referenced section.

B.13 Hardcopy Requirements

B.13.1 All hardcopy manuals shall be bound in white heavy-duty, 'D-style', three ring binders with transparent cover and spine pockets. Contractor shall utilize a maximum spine size of 75 mm.

B.13.2 Binder cover spines shall be titled with:

(a) the City of Winnipeg logo;

(b) the facility name ("Lift Station");

(c) the manual name (e.g. "Operations Manual");

(d) the area (e.g. "Area P – Primary Clarification Facility"), **if applicable**;

(e) the binder number, if separate binders are used for the manual; and

(f) if more than one binder is necessary, the binders should be labelled 1 of X, 2 of X etc. (X being the total number of binders).

B.13.3 Binders shall include heavy-duty durable plastic dividers featuring a numbered index tab to subdivide the chapters with the numbers aligning with the numbering in the table of contents. The dividers shall have stepped sides and rounded corners.

B.13.4 Contractor shall provide the following page requirements:

(a) letter-sized;

(b) minimum paper weight:

(i) 75 g/m² (20lb) paper for draft O&M Information; and

(ii) 90 g/m² (24lb) paper for final O&M Information

B.13.5 Contractor shall provide a minimum of 10 percent spare capacity for additional material in each binder.

B.14 Electronic File Requirements

B.14.1 Contractor shall provide all electronic deliverables in:

- (a) text-searchable PDF format; and
- (b) native-file format, except for manufacturer documentation.

B.14.2 Contractor shall provide to the City all native electronic material utilized to develop the O&M Information and all supporting documents, including but not limited to:

- (a) O&M Manual electronic files in Microsoft Word (docx) format;
- (b) photographs in JPEG format;
- (c) presentations in Microsoft PowerPoint (pptx) format;
- (d) figures and drawings in native AutoCAD or Microsoft Visio format (VSD); and
- (e) supporting Microsoft Excel (xlsx) files.

B.14.3 Electronic source files shall be reasonably divided to aid in the City updating the O&M Information over time. **In no case shall a single file be used to address content** in more than one manual or area. Electronic source files over 100 pages should not typically be used.

SECTION C. ASSET O&M INFORMATION

C.1 General

C.1.1 Contractor shall provide an organized comprehensive system of all required Asset information to allow City personnel to operate and maintain the Project (the "**Asset O&M Information**").

C.1.2 The Asset O&M Information shall be comprehensive and include, but not be limited to, all information presented in the Maintenance Manuals specified in Section F.

C.1.3 Contractor shall provide the Asset O&M Information in electronic format. Hardcopies are not required except as required by the RFP.

C.2 Organization

C.2.1 Contractor shall organize the Asset O&M Information by:

- (a) Asset hierarchy, Appendix – Asset Registry Guideline;
- (b) Asset classification, in accordance Appendix – Asset Registry Guideline;
- (c) Asset specification, Appendix – Asset Registry Guideline;
- (d) manufacturer and model number (if applicable);

- (e) if required, model series numbers (or other unique information as applicable); and
- (f) Equipment Identifier, where the information is specific to the individual Asset.

C.2.2 The specific Assets will reference the Asset O&M Information via the Asset index, as described in Section F.4.

C.2.3 For each Asset, Contractor shall include:

- (a) installation date;
- (b) bill of material and warranty information, as per Section C.3;
- (c) Commissioning Records, as per Section C.4;
- (d) Failure Mode and Effects Criticality Analysis;
- (e) lubricants schedule, as per Section C.5;
- (f) manufacturer's literature, as per Section C.6;
- (g) settings, as per Section C.7;
- (h) shop drawings, as per Section C.8;
- (i) spare parts list, as per Section C.9;
- (j) special maintenance procedures, as per Section C.10;
- (k) suppliers, as per Section C.11; and
- (l) **maintenance benchmark work order information as per Section C.12.**

C.3 Bill of Material and Warranty Information

C.3.1 For each Asset and components, use the bill of material template and warranty information template in Appendix – O&M Information to provide the following information:

- (a) complete list of parts for each Asset, which are also identified on exploded view diagrams; and
- (b) for each part, Contractor shall provide:
 - (i) Asset Identification, as applicable and in accordance Appendix – Asset Registry Guideline;
 - (ii) part description and material composition (e.g. stainless steel etc.);
 - (iii) original equipment manufacturer part number;
 - (iv) detailed specification of parts to allow for classification in the City's OWAM system;

- (v) closest supplier, with complete contact information, and if they offer vendor managed inventory; and
- (vi) alternate supplier with complete contact information.

C.3.2 Further to Section C.3.1(b), information required for each part shall be consistent with the template provided.

C.4 Commissioning Records

C.4.1 Contractor shall include all Commissioning Records (the “**Commissioning Records**”) associated with a specific Asset. For clarity, commissioning records provided during commissioning may be used, but shall be organized in accordance with this Section C.4.

C.4.2 Commissioning Records associated with an overall process or system that is not clearly identified as a single Asset shall be provided as per Section E.18.1(a).

C.4.3 The Commissioning Records shall include, the following as applicable:

- (a) pressure vessel test certificates;
- (b) fixed lifting equipment test certificates;
- (c) portable lifting equipment test certificates;
- (d) fire alarm test certificates;
- (e) asset pre-commissioning test forms and reports;
- (f) asset performance tests certificates;
- (g) structural test results;
- (h) fall arrest connection test results;
- (i) device configuration parameters and settings;
- (j) mechanical equipment commissioning results and reports;
- (k) equipment commissioning certificates;
- (l) pressure test certificates;
- (m) electrical equipment commissioning test results, including final relay set points;
- (n) security system acceptance documentation; and
- (o) CCTV system acceptance documentation.

C.5 Lubricants Schedule

- C.5.1 For each Asset, Contractor shall provide a complete schedule of all lubricants used for the Asset.
- C.5.2 The lubricants schedule shall contain the following information:
- (a) Equipment Identifier;
 - (b) Asset description (as per Appendix – Asset Registry Guideline);
 - (c) Asset location (as per Appendix – Asset Registry Guideline);
 - (d) lubricant description, including the product name, generic name, Society of Automotive Engineers grade, oil category, etc.;
 - (e) lubricant supplier name, at time of commissioning;
 - (f) supplier item or reference number; and
 - (g) reference to equipment manufacturer's documentation.

C.6 Manufacturers' Literature

- C.6.1 For each Asset, provide a complete set of manufacturer's literature, including all installation, operation and maintenance manuals.
- C.6.2 The literature from manufacturer shall be specific to the equipment installed. Where manufacturers' literature contains information on multiple equipment types or variants, the specific equipment installed shall be clearly identified.
- C.6.3 Manufacturer's literature shall include:
- (a) product description, including:
 - (i) a brief description of the equipment and principles of operation;
 - (ii) design specifications (materials, weight, motor data, etc.);
 - (iii) approvals and certifications;
 - (iv) illustrations and general arrangements;
 - (v) reference data – all applicable technical and/or reference data for the specific equipment and components installed, including:
 - (A) manufacturer, model, serial number, size, power, rating etc.;
 - (B) pump and fan performance curves; and
 - (C) factory testing results (where applicable);

- (vi) hard copies of original diagrams, drawings, pictures or other graphics; and
 - (vii) safety guidelines;
- (b) installation and operating instructions. Provide comprehensive instructions, including diagrams, figures, and general arrangements for:
 - (i) installation;
 - (ii) programming (where applicable);
 - (iii) commissioning;
 - (iv) start-up (including calibrations, adjustments, alarming, etc.);
 - (v) operations; and
 - (vi) shutdown;
- (c) Maintenance work instructions and comprehensive instruction, with detailed descriptions of all hazards and safety requirements, for:
 - (i) inspection;
 - (ii) routine maintenance;
 - (iii) removal and testing;
 - (iv) component overhaul and replacement;
 - (v) special handling techniques; and
 - (vi) special tools required. Contractor shall request template for special tools from the City. Contractor shall use this template to provide information on special tools;
- (d) troubleshooting guide, including comprehensive set of troubleshooting scenarios to allow systematic identification of performance and functional problems for the equipment or system; and
- (e) materials list, tools and equipment listing, including:
 - (i) for larger and/or more complex equipment (for example, a dewatering centrifuge, motor control centre, control panel, large air compressor, on-line analytical water quality instrumentation) a manufacturer's materials list and/or exploded parts diagram shall be provided referencing part numbers, descriptions, quantities and drawing numbers or other information references; and
 - (ii) comprehensive and complete listing of all special equipment and accessories.

C.7 Settings

C.7.1 For each Asset and all components, Contractor shall provide a complete schedule of all technician and user settable settings, including all associated DCS settings and set points.

C.7.2 For each setting, Contractor shall include:

- (a) setting name or identifier;
- (b) setting description;
- (c) associated component (e.g. PT-S3251);
- (d) location where setting is adjusted (e.g. pcs, ft-g1125); and
- (e) final as-commissioned value.

C.8 Shop Drawings

C.8.1 For each Asset and all components, Contractor shall provide all shop drawings and other submittals.

C.8.2 Contractor shall provide document numbers in accordance with City Document Numbering Standard.

C.9 Spare Parts and Maintenance Materials

C.9.1 Contractor shall provide the following:

- (a) list of spare parts and consumables required from the Contractor to be used by the City before the end of the warranty period; and
 - (i) indicate the number of spare parts and consumables, of each type, provided to the City;
- (b) list of spare parts and consumables that the City will need to procure for after the warranty period and through the life cycle of all Assets;
 - (i) indicate the number of spare and consumables, of each type, expected to be used by the City throughout the life cycle of all Assets.

C.9.2 The spare parts and consumables list shall contain the following information:

- (a) Equipment Identifier;
- (b) Asset description (as per Appendix – Asset Registry Guideline);
- (c) Asset location (as per Appendix – Asset Registry Guideline);
- (d) spare part or consumable description (as per manufacturer's manual);
- (e) equipment manufacturer's item number;

- (f) original equipment manufacturer part number;
- (g) supplier name, at time of commissioning;
- (h) estimated lead time for procurement; and
- (i) reference to manufacturer's documentation.

C.10 Special Maintenance Procedures

C.10.1 Contractor shall provide special maintenance procedures where not otherwise included. Contractor shall provide procedures in a detailed step-by-step format, to allow for City Maintenance Personnel to successfully complete the task. Procedures shall include, but not be limited to:

- (a) maintenance procedures for decommissioned assets and/or buildings;
- (b) procedure for rebuilding server and operator workstation computers;
- (c) black-start procedures in the event of DC battery depletion or failure;
- (d) oil containment system routine maintenance; and
- (e) oil containment spill response procedures.

C.11 Suppliers

C.11.1 For each Asset and all associated components, the Contractor shall provide a schedule of equipment suppliers, as existing at the time of commissioning, which shall contain:

- (a) relevant City Materials Management tender number (e.g. 477-2024); and
- (b) supplier contact details (name, address, e-mail address, telephone numbers, etc.).

C.12 Maintenance Benchmarks

C.12.1 Contractor shall provide information regarding maintenance benchmarks for all Assets in the Asset Registry using the maintenance benchmark template provided in Appendix – Asset Registry Guideline.

- C.12.1.1 Maintenance benchmark templates are used to generate work orders within the City's OWAM system. They are used primarily for setting up reoccurring preventive and predictive maintenance type work orders.
- C.12.1.2 More than one maintenance benchmark may be required for each Asset in the Asset Registry.
- C.12.1.3 Contractor shall consider the maintenance information from the manufacturer's literature, but the information should not be limited to the manufacturer's literature and apply common sense and/or industry standard recommended maintenance. The maintenance activities shall be based upon the manufacturer's and Contractor recommendations.
- C.12.2 Contractor shall:
- (a) provide maintenance benchmarks that include all Asset Types, components and summary of required maintenance e.g. weekly, bi-weekly, monthly quarterly, semi-annually, yearly etc.;
 - (b) include Assets with Equipment Identifier and Assets without Equipment Identifiers such as architectural, structural and civil systems;
 - (c) assign a recommended frequency to all applicable Assets;
 - (d) organize maintenance benchmark work orders by Asset Type;
 - (e) provide an index which includes the maintenance benchmark and the associated Asset;
 - (f) include sufficient information in the maintenance benchmark work order templates to allow the Project to be maintained safely in a manner such that the design service life of each Asset is maintained; and
 - (g) include requirements for regular inspections by all trades, including operators.

SECTION D. SAFETY MANAGEMENT DOCUMENTS

D.1 General Requirements

- D.1.1 Contractor shall provide the following for all work activities relating to maintenance or operation of the Project that contain a potential hazard to staff or that require specific knowledge not generally in domain knowledge or are not readily obvious to new staffs.
- (a) Safe Work Procedures (SWP);
 - (b) Standard Operating Procedures (SOP); and
 - (c) Lock Out – Tag Out Procedures (LOTO).
- D.1.2 The SOP, SWP and LOTO procedure are collectively known as safety management documents in this Appendix.

- D.1.3 Contractor shall develop the safety management documents in accordance with Appendix - Guideline to Create Safety Management Documents.
- D.1.3.1 Job hazard analysis as indicated in Appendix - Guideline to Create Safety Management Documents are required to develop SWPs.
- D.1.4 Contractor shall coordinate with the City as required ensuring that the safety management documents are appropriately integrated with the City's existing procedures.
- D.1.5 As part of the development process for the safety management documents, the Contractor shall include input and review from a variety of Contractor personnel familiar with the task or activity in order to reduce the possibility of overlooking steps or potential hazards and increase the likelihood of identifying the most appropriate measures to eliminate or control hazards. Contractor shall include design engineers as applicable.
- D.2 Deliverables**
- D.2.1 Contractor shall provide a list of all SWP, SOP and LOTO procedure documents applicable to the Project. The list shall include:
- (a) the name of the SWP, SOP and LOTO;
 - (b) whether the SWP, SOP and LOTO is new, existing, or modified;
 - (c) sufficient information on the hazard and procedures to evaluate the scope of the SWP, SOP and LOTO documents; and
 - (d) for existing SWP, SOP and LOTO documents, any proposed modifications.
- D.2.2 Prior to fully developing the SWP, SOP and LOTO documents; and 30 Calendar Days prior to submission of the O&M Information, Contractor shall submit the SWP, SOP and LOTO listing to the Consulting Contract Administrator for comments. The purpose of this review is to help ensure that the proposed SWP, SOP and LOTO documents are well aligned with the City's systems and existing SWP, SOP and LOTO documents.
- (a) Contractor shall integrate comments from the City and contract administrator into the proposed SWP, SOP and LOTO documents.
- D.2.3 Contractor shall provide each of the safety management documents as individual files in both Microsoft Word and PDF format.
- D.3 Material Provided by the City**
- D.3.1 The City will provide copies of existing SWP, SOP and LOTO procedure documents that could potentially be applicable to the Project at the Contractor's request.

- D.3.2 The provision of the existing SWP, SOP and LOTO procedure documents by the City, or lack thereof, shall in no way limit the Contractor's responsibility to provide complete SWP, SOP and LOTO documents.
- D.3.3 The City will provide the SWP, SOP and LOTO procedure Template in native format (if available) to Contractor at the Contractor's request.

D.4 City Review

- D.4.1 Submit all safety management documents in accordance with Section B.2.
- D.4.2 Job hazard analysis shall accompany every Safe Work Plan.

SECTION E. OPERATIONS MANUAL

E.1 General

- E.1.1 Contractor shall provide manuals that provide comprehensive guidance to City staff on the operation of the Project (the "**Operations Manual**").
- E.1.2 Certain sections of the Operations Manual may be marked as future or may not be applicable to the Project. Contractor shall organize all sections of the Operations Manual, but the content for future or non-applicable sections may be marked as such.
- E.1.3 Contractor shall organize the Operations Manual to allow for seamless updates by others to make the Operations Manual comprehensive of the Project.
- E.1.4 The Operations Manual shall conform to Section B.4.

E.2 Organization

- E.2.1 Contractor shall organize the Operations Manual by:
- (a) area;
 - (b) discipline (as applicable); and
 - (c) process / system.
- E.2.2 Contractor shall utilize Area Code *Area A - General or Area Not Applicable* to contain the information that is applicable to the entire facility, multiple areas, or no specific area. For example:
- (a) facility-wide networking commissioning records.
- E.2.3 Contractor shall include the following in the Operations Manual:
- (a) an introduction in accordance with Section E.3;
 - (b) a user guide, in accordance with Section E.4;

- (c) an overview of the site services; and
- (d) Area Manuals in accordance with Section E.5.

E.3 Introduction

E.3.1 The introduction of the Operations Manual shall include:

- (a) location and address of the facility;
- (b) emergency contacts (coordinate with the City as required);
- (c) High level description of the facility, including:
 - (i) capacity;
 - (ii) connections to other facilities upstream and downstream;
 - (iii) utility power supplies and standby generation; and
 - (iv) natural gas and other utility interconnections;facility layout diagram; and
- (d) key data, such as dry weather and maximum flow capacity, licence limits, etc.

E.4 User Guide

E.4.1 The Operations Manual shall provide information on the structure of the complete O&M Manuals and how users can access and reference information in both electronic and hardcopy versions.

E.4.2 The Operations Manual shall identify that all printed copies of the manual are uncontrolled copies, and that only the electronic copy is controlled.

- (a) the City will identify the location of the controlled copy for inclusion in the manuals.

E.4.3 The Operations Manual shall identify any specific responsibilities or constraints that users of the O&M Manuals should be aware of.

E.5 Area Manuals

E.6 General

E.6.1 Provide a set of manuals (the “**Area Manuals**”) to comprehensively describe each area of the Project and provides comprehensive guidance to City staff on the operation of the Project.

E.6.2 The Area Manuals shall comply with the requirements of Section B.4.

E.7 Organization

E.7.1 The Area Manuals shall include the following sections:

- (a) introduction, in accordance with Section E.8;
- (b) health and safety, in accordance with Section E.9;
- (c) general process requirements, in accordance with Section E.10;
- (d) building mechanical, in accordance with Section E.12;
- (e) electrical, in accordance with Section E.13;
- (f) automation, in accordance with Section E.14;
- (g) functional Requirements in accordance with E.15;
- (h) lubricant Schedule in accordance with Section E.16;
- (i) settings in accordance with Section E.17; and
- (j) appendices, in accordance with Section E.18.

E.8 Introduction

E.8.1 The introduction of each Area Manual shall include:

- (a) a comprehensive description of the Project; including figures to describe the process schematically and by location. Include references to the process flow diagrams.
- (b) a high-level description of the Project within the area including:
 - (i) key data; and
 - (ii) major capacities;
- (c) location within the overall facility;
 - (i) provide a full 8.5" x 11" page key plan figure indicating the applicable area within the facility;
- (d) a general description of the layout, including:
 - (i) building/structure layout and arrangement; and
 - (ii) location of the major processes and systems;
- (e) Simplified floor plan layouts of each level of all structures. The purpose of these layouts shall be to aid new City staff in navigating the area and understanding the general purpose of each room. These layouts shall:
 - (i) show the rooms, room numbers and names;

- (ii) show major processes and systems. For example, the switchgear within an electrical room shall be displayed, but not the lighting or receptacles;
 - (iii) avoid displaying dimensions or any other details that are distracting from the primary purpose of the floor plan layouts; and
 - (iv) be scaled to fit on a full 8.5" x 11" page or on an 11" x 17" foldout;
- (f) A description of each room and area of the structures. The descriptions shall indicate the key features and function of the space, as appropriate for introduction of new City personnel.

E.9 Health and Safety

E.9.1 For each area, provide comprehensive health and safety information, including identification of hazards and a description of the hazard controls for the specific area. Hazards, as applicable, shall include the following items:

- (a) asbestos;
- (b) automated machinery;
- (c) biological hazards, including bacteria and viruses;
- (d) buried services;
- (e) chemical exposure for all chemicals;
- (f) safety data sheets;
 - (i) provide an index of all applicable SDS; and
 - (ii) provide the SDS in PDF format;
- (g) compressed gases;
- (h) confined spaces;
- (i) electrical shock and arc flash;
- (j) explosive atmospheres;
- (k) falls and trips;
- (l) hazardous gases;
- (m) hazardous materials;
- (n) lighting levels;
- (o) manual handling;
- (p) noise;

- (q) overhead cables;
- (r) pressurized systems;
- (s) stored mechanical energy;
- (t) temperature;
- (u) traffic; and
- (v) vibration.

E.9.2 For each health and safety hazard, Contractor shall:

- (a) identify and clearly describe the hazard;
- (b) provide figures to clearly identify the hazards and their potential locations;
- (c) if appropriate and helpful to City personnel, provide references to drawings that help identify the hazard or the location of the hazard. The references to drawings shall not eliminate the requirement to provide figures;
- (d) clearly describe the hazard controls, including the operation of the hazard control systems, as applicable;
- (e) describe the design features of the Project that have been used to mitigate each of the identified health and safety hazards;
- (f) explain the hierarchy of controls applied to mitigate the hazard. For example:
 - (i) engineering controls;
 - (ii) administrative controls; and
 - (iii) PPE controls;
- (g) identify precautions to take while working in the area; and
- (h) identify and reference the applicable SWPs associated with each hazard.

E.9.3 Without limiting any other requirement, the operational Manuals for the upgrade shall include sections on the following as applicable:

- (a) confined spaces:
 - (i) provide figures clearly identifying the confined spaces and the associated hazards;
- (b) electrical hazards:
 - (i) provide figures clearly identifying the specific electrical hazards and the required safety systems that are not generally covered under the Operations Manual. For example, general electrical hazards associated with a motor control centre may be located in the

Operations Manual; however, specific hazards associated with overhead power lines shall be included in the Area Manual;

- (c) explosive atmospheres (as applicable):
 - (i) identify all hazardous locations in both table and figure format;
 - (ii) reference the applicable hazardous location plan drawings identifying hazardous (electrical) locations in accordance with the *WWD Electrical Design Guide*;
 - (iii) identify and describe requirements for portable gas detection; and
 - (iv) identify and describe fixed controls, including ventilation systems, gas detection systems, electrical classification, interlock systems and other controls to mitigate the hazards;
- (d) fall protection:
 - (i) provide figures clearly identifying the hazards associated with work at heights and the required safety controls;
- (e) fire protection:
 - (i) portable fire extinguishers
 - (ii) fixed fire protection systems
- (f) toxic atmospheres (as applicable):
 - (i) identify and describe requirements for portable gas detection; and
 - (ii) identify and describe fixed controls, including ventilation systems, gas detection systems, electrical classification, interlock systems and other controls to mitigate the hazards;
- (g) other safety issues, precautions and equipment.

E.9.4 Contractor shall include a summary of all statutory and safety and health signage included in the design, including the installed locations.

E.10 Simplified Process & Instrumentation Diagrams

E.10.1 Contractor shall prepare simplified P&IDs that are similar to Contractor's P&ID drawings for inclusion in the Area Manuals with the following differences:

- (a) format the simplified P&IDs as a figure in accordance with Section B.10;
- (b) remove extraneous information, including most title block information; and
- (c) remove all interlocks and automation, except for base instrument.

E.11 General Process Requirements

E.11.1 The requirements of Section E.10 shall apply to all processes and systems within the Project, including:

- (a) process systems;
- (b) building mechanical systems;
- (c) electrical systems; and
- (d) automation systems.

E.11.2 Contractor shall begin each section with an introductory general description of the processes / systems within the section. The reader should not need to read the whole section to gain a general understanding of the scope of the section.

E.11.3 For each process and system, Contractor shall provide the following as applicable:

- (a) a description of the process/system along with the process/system objectives including:
 - (i) purpose;
 - (ii) capacities; and
 - (iii) inputs and outputs;
- (b) a listing of all Assets within the process / system. The Asset listing shall include the identifier, description, and the role of each piece of equipment;
- (c) a description of all major equipment and components, including appropriate identification to reference the Assets;
- (d) key design criteria;
- (e) the process control narratives, including control philosophy in manual and automatic modes;
- (f) instructions on the use of manual controls;
- (g) description of the process control parameters, settings and setpoints along with typical values and instructions on when to change;
- (h) Process troubleshooting guides;
- (i) descriptions of protection systems installed;
- (j) emergency shut down and power failure procedures;
- (k) sampling and analysis requirements;
- (l) a description of electrical systems that are specific to the process / system; and

- (m) a description of the automation systems specifically related to the process / system. For example, for a sump pump system, describe the level sensor / control system.
- E.11.4 Contractor shall create SOPs in accordance with Attachment 1 - Guideline to Create Safety Management Documents. Contractor shall reference applicable SOPs inline in text, and in a summary table for each process / system. For clarity, the actual SOPs should be included in an appendix to the Area Manual, and not inline.
 - (a) SOPs shall include, but not be limited to, the following as applicable:
 - (i) routine operational checks, including daily, weekly and monthly;
 - (ii) start-up;
 - (iii) shutdown;
 - (iv) isolating; and
 - (v) draining.
- E.11.5 Contractor shall provide a comprehensive overview of the SWPs, SOPs, and LOTO provided for each area without repeating the content of each document. Contractor shall include applicable contextual and operational information that may not be clear from, or included in, the SOPs. Contractor shall:
 - (a) provide sufficient information to allow the reader to understand the overall methods for operating the Project.
 - (b) reference the specific SWPs, SOPs & LOTO and when they would be used.
 - (c) the inclusion of information in this section in no way reduces the requirement for information to be contained within the SOPs.
 - (d) reference applicable SWPs, SOPs & LOTO inline in text, and in a summary table for each process / system.
 - (e) ensure that Area Manuals are written in a cohesive manner.
- E.11.6 Contractor shall provide comprehensive instructions on the remote monitoring and operation of the building mechanical systems from the DCS in coordination with City AICG staff. Contractor shall:
 - (a) describe all modes of operation and provide instructions on changing between modes;
 - (b) identify and provide guidance on all adjustable control parameters, settings and setpoints;
 - (c) describe all automatic sequences and the manual sequences required should the automatic sequence fail;

- (d) describe interlocks from an operational perspective. Describe all major interlocks and interlocks between equipment, but common inter-equipment interlocks may not require detailing if not beneficial to Operations Personnel. For example, a detailed interlock that the pump output contact should be turned off if the auxiliary input contact is released does not need to be included;
 - (e) provide screenshots of applicable PCS HMI screens along with guidance on use. Descriptions on use of the PCS should not be repetitive of common functionality, but rather should be written from the perspective of instruction of personnel. For example, do not repeat basic instructions such as “click on the symbol to open the faceplate”, but rather include instructions such as “In the event of a power failure on Bank 1, the breaker controls on faceplate SGR-E7110.CB-T will...”; and
 - (f) identify and describe alarms, including the exact wording / tag provided on the HMI. Describe the cause of the alarm, actual or potential consequences, and the required responses of the operator (cause, consequence and action).
- E.11.7 Contractor shall provide references to process flow diagrams and P&IDs located in the Drawing Manuals.
- E.11.8 Contractor shall provide operational troubleshooting guides for each process / system.
- (a) the troubleshooting guide shall incorporate comprehensive instructions on dealing with and treating the failure of important parts of the process (e.g. power failure, air failure, major equipment failures) that if left unchecked, have the potential for the process to go out of specification or cause an incident; and
 - (b) maintenance troubleshooting should be included within the Maintenance Manuals.
- E.11.9 Contractor shall include a subsection for each process / system to provide applicable, specific safety information, including safety hazards and safety controls.
- E.11.10 Contractor shall provide all applicable SWPs in accordance with SECTION D. For clarity, SWPs shall not be provided within the Area Manuals, but shall be referenced from the Area Manuals. Contractor shall:
- (a) reference applicable SWPs inline in text, and in a summary table for each process / system; and
 - (b) ensure that the SWPs and Area Manuals are written in a cohesive manner.
- E.12 Building Mechanical**
- E.12.1 Contractor shall provide a section for each area as applicable to the upgrade project clearly describing the building mechanical systems, including:

- (a) sump pump systems;
- (b) potable and non-potable water systems;
- (c) flushing water systems, as applicable;
- (d) compressed air systems, as applicable;
- (e) HVAC;
- (f) fire protection; and
- (g) any other building mechanical system.

E.12.2 Contractor shall ensure the requirements of Section E.10 are adhered to for all building mechanical systems.

E.12.3 Contractor shall include key figures as appropriate to allow City staff to familiarize themselves with the building mechanical systems. Figures shall include:

- (a) location and identification of all mechanical equipment, including identified valves;
- (b) layouts of key plumbing components, such as potable water services, flushing water, and backflow preventers;
- (c) mechanical room layouts;
- (d) layouts indicating the location and identification of all major HVAC components;
- (e) layouts indicating the location and identification of all major fire protection components; and
- (f) simplified P&IDs of all building mechanical systems.

E.12.4 Contractor shall provide key design criteria, including:

- (a) room ventilation rates in air changes per hour and flow rates; and
- (b) indoor design temperatures.

E.13 Electrical

E.13.1 Contractor shall provide a section for each area as applicable to the upgrade project clearly describing the electrical systems, including:

- (a) medium voltage distribution, including switchgear, transformers and interconnections;
- (b) low voltage distribution, including switchgear, distribution panels, and transformers;
- (c) MCCs;

- (d) DC power supplies;
 - (e) power meters;
 - (f) uninterruptible power supplies;
 - (g) lighting control systems;
 - (h) public address systems;
 - (i) fire alarm systems;
 - (j) security systems; and
 - (k) other electrical distribution equipment.
- E.13.2 Contractor shall ensure the requirements of Section E.11 are adhered to for all electrical systems.
- E.13.3 Contractor shall provide a basic description of the electrical protection systems; however, a detailed description is not required in the Area Manuals.
- E.13.4 Contractor shall provide a description and operating instructions with respect to load shedding systems.
- E.13.5 Contractor shall specifically identify all electrical equipment with arc-flash energies of 8 cal/cm² or greater along with any special safety precautions.
- E.13.6 Contractor shall include key figures as appropriate to allow City staff to familiarize themselves with the electrical distribution system. Figures shall include:
- (a) electrical room layouts showing layout and identification of all equipment;
 - (b) overview single line diagrams for each voltage level; and
 - (c) elevation figures for all motor control centers and other electrical distribution equipment that feeds equipment loads.
- E.13.7 Contractor shall provide key design criteria, such as major equipment ratings and capacities.
- E.13.8 Contractor shall provide information as required to ensure that any special requirements for lockout and tag out of process or mechanical systems is identified.
- E.13.9 Contractor shall provide instructions on the local operation of the electrical systems, including manual breaker and switch operation.
- E.14 Automation**
- E.14.1 Contractor shall provide a section as applicable to the upgrade project for each area clearly describing the automation systems, including:
- (a) DCC systems;

- (b) automation panels; and
- (c) other automation systems.

E.14.2 For clarity, the automation section(s) of the Area Manuals shall describe the general automation systems common and applicable to multiple systems. This section should not describe the specific automation components associated with a specific system, which should be described in the applicable section as described in Sections E.12 and E.13.

E.14.3 Contractor shall ensure the requirements of Section E.10 are adhered to for all automation systems.

E.14.4 Contractor shall provide a basic description of the architecture of the automation systems, as applicable to a general staff audience.

E.14.5 Contractor shall include key figures as appropriate to allow City staff to familiarize themselves with the automation system. Figures shall include:

- (a) applicable room layouts showing layout and identification of major equipment, including PLCs and HMIs; and
- (b) overview automation architecture diagrams.

E.14.6 Contractor shall provide instructions on use of the automation system, from an operations perspective.

E.15 Functional Requirements

E.15.1 Contractor shall provide the functional requirements in accordance with the functional requirements specification(s) of Appendix J – Wastewater Treatment Facilities Automation Design Guide.

E.16 Lubricant Schedule

E.16.1 Contractor shall provide the Lubricant Schedule in accordance with Section C.5.

E.17 Settings

E.17.1 Contractor shall provide the Settings in accordance with Section C.7.

E.18 Appendices

E.18.1 Contractor shall include the following as an appendix to each Area Manual

- (a) Commissioning Plan and Records
 - (i) Contractor shall include the final commissioning plan(s) (As-Built);
 - (ii) Contractor shall include the Commissioning Records not associated with a specific Asset. Contractor shall provide Asset-specific Commissioning Records in accordance with Section C.4;

- (iii) the Commissioning Records shall include, but not be limited to, the following as applicable:
 - (A) certificates of acceptance from authorities having jurisdiction;
 - (B) Professional of Record letter(s) of certification;
 - (C) process commissioning results and reports;
 - (D) process performance tests certificates;
 - (E) structural test results;
 - (F) mechanical system commissioning results and reports;
 - (G) electrical system commissioning test results;
 - (H) power distribution system acceptance documentation;
 - (I) process control system factory acceptance test documentation;
 - (J) process control system site acceptance test documentation; and
 - (K) network commissioning documentation;
- (iv) Contractor shall provide all Commissioning Records in PDF format;
- (b) HAZOP Records (if applicable)
 - (i) Contractor shall include a copy of records from the HAZOP produced by the project; and
 - (ii) inclusion of the HAZOP records does not reduce the requirements for description of hazard controls in the Area Manuals or other O&M Information.

SECTION F. MAINTENANCE MANUALS

F.1 General

- F.1.1 Contractor shall provide manuals to comprehensively provide all routinely required information to allow City personnel to maintain the Project (the "**Maintenance Manuals**").
 - (a) for the purpose of the Maintenance Manuals, routinely required information shall include all information that:
 - (i) is useful to introduce City Maintenance Personnel to the maintenance of the processes, systems and Assets; and
 - (ii) is likely to be utilized by Maintenance Personnel on an annual or more frequent basis.

F.1.2 The Maintenance Manuals shall excerpt information from the Operations Manual and Asset O&M Information as required, such that routinely utilized information is provided in an organized and easy to understand manner.

F.1.3 The Maintenance Manuals shall comply with the requirements of Section B.4.

F.2 Organization

F.2.1 The electronic format and hardcopy format of the Maintenance Manuals shall be identical.

F.2.2 Contractor shall organize the Maintenance Manuals by:

- (a) Asset Class Type, in accordance with Appendix L – Asset Registry Guideline;
- (b) Asset Class, in accordance with Appendix L – Asset Registry Guideline;
- (c) Asset Type, in accordance with Appendix L – Asset Registry Guideline;
- (d) manufacturer and model number (if applicable);
- (e) if required, model series numbers (or other unique information as applicable); and
- (f) Equipment Identifier, where the information is specific to the individual Asset.

F.3 User Guide

F.3.1 The Maintenance Manual shall include a user guide in accordance with Section E.4.

F.4 Asset Index

F.4.1 For each manual, in addition to a table of contents, Contractor shall provide an Asset index, with all Assets and components, to allow the user to identify the location of the corresponding Asset O&M Information. For example, if an instrument is a component of an Asset, but the user only has the instrument identifier, this index would allow the user to quickly identify the applicable Asset O&M Information.

F.4.2 The index shall be organized alphabetically by Equipment Identifier.

F.4.3 The index shall contain the following for each Asset:

- (a) Equipment Identifier;
- (b) Asset description;
- (c) A cross-reference to the appropriate sections of the Maintenance Manual. The reference for hardcopy information shall include binder and internal divider tab information;
 - (i) Where no or partial maintenance information is provided in the Maintenance Manual for an Asset, due to the requirements of Section

F.1.1(a), inform the reader to reference the electronic Asset O&M Information.

F.5 Content

F.5.1 Where meeting the requirements of Section F.1.1(a), include the following from the Asset O&M Information within the Maintenance Manuals:

- (a) bill of material, as per Section C.3;
- (b) lubricants schedule, as per Section C.5;
- (c) manufacturers' literature, as per Section C.6;
- (d) settings, as per Section C.7;
- (e) shop drawings, as per Section C.8;
- (f) special maintenance procedures as per Section C.10;
- (g) suppliers, as per Section C.11;
- (h) health and safety in accordance with Section E.9.

F.6 Site Services

F.6.1 The Maintenance Manual shall also include site services information.

SECTION G. DRAWING MANUALS

G.1 General

G.1.1 The contract administrator shall provide a comprehensive set of drawings (the "**Drawing Manuals**") for use by City staff to operate and maintain the Project, organized by Tender and/or location.

G.2 Organization

G.2.1 Each Drawing Manual shall include the following sections:

- (a) index of drawings, in accordance with Section G.3; and
- (b) drawings, in accordance with Section G.4.

G.2.2 References from O&M Manuals to drawings in the Drawings Manual shall be made via relative electronic hyperlinks.

G.3 Index of Drawings

G.3.1 The contract administrator shall provide an index of all drawings produced or revised as part of the Project. The index shall contain:

- (a) drawing number;

- (b) drawing title;
 - (c) discipline;
 - (d) type of drawing (corresponding with drawing number type code); and
 - (e) area (corresponding with Area Code if applicable)
- G.3.2 The index shall be sorted by area (or facility), then discipline, then drawing number.
- G.3.3 For clarity, the contract administrator shall provide an index for each Drawing Manual and each Drawing Manual shall be comprised of the drawings for a specific area.
- G.3.4 The index shall be provided in both hardcopy and electronic formats, with the electronic in Microsoft Excel format.
- G.4 Drawings**
- G.4.1 The contract administrator shall include a complete set of as-built drawings and record drawings, in the Drawing Manual(s), over and above those sets required within the main body of the Request for Proposals.